

29/04/2021

Annex 6

Annex 6**A) VENDOR REPORTING FORM FOR THE UTILIZATION AND DISTRIBUTION OF EUROTIX MARKET DATA**

The present form should be delivered to a Borsa Italiana S.p.A. by and not later than 10 days following the closing of the quarter.

YEAR OF REFERENCE

QUARTER OF REFERENCE

- ☐ 1st January /31 March
- ☐ 1st April/ 30June
- ☐ 1st July /30 September
- ☐ 1st October/31
December

VENDOR NAME

VENDOR ADDRESS

| | Level 1 and 2 – A2 | Level 2 – A3 | Level 2 – A4 | Level 2 – A5 | MiFID II Post Trade |
|--|-----------------------|-----------------|-----------------|-----------------|---------------------------|
| Professional Users - All Asset Classes | | | | | |
| From 1 to 150 Users | | | | | |
| From 151 a 1500 Users | | | | | |
| More than 1500 Users | | | | | |
| | | | | | |
| Professional Users – Equity and DR | | | | | |
| From 1 to 150 Users | | | | | |
| From 151 a 1500 Users | | | | | |
| More than 1500 Users | | | | | |
| | | | | | |
| Professional Users – CWs and Certificates | | | | | |
| From 1 to 150 Users | | | | | |
| From 151 a 1500 Users | | | | | |
| More than 1500 Users | | | | | |
| | | | | | |
| Professional Users – Bonds | | | | | |
| From 1 to 150 Users | | | | | |

| | | | | | |
|---|--|--|--|--|--|
| From 151 a 1500 Users | | | | | |
| More than 1500 Users | | | | | |
| | | | | | |
| Non-Professional Users – All¹ Asset Classes | | | | | |
| From 1 to 1000 Users | | | | | |
| > 1000 Users | | | | | |
| | | | | | |
| Non-Professional Users – Equity and DR | | | | | |
| From 1 to 1000 Users | | | | | |
| > 1000 Users | | | | | |
| | | | | | |
| Non-Professional Users – CWs and Certificates | | | | | |
| From 1 to 1000 Users | | | | | |
| > 1000 Users | | | | | |
| | | | | | |
| Non-Professional Users – Bonds | | | | | |
| From 1 to 1000 Users | | | | | |
| > 1000 Users | | | | | |
| | | | | | |

¹ Please indicate if the number is related to the active logins or the 65% of all Identification Code and/or password issued.

| Market Data Usage for Derived Data Creation | | | | | |
|---|--|--|--|--|--|
| Number of Applications | | | | | |
| | | | | | |

B) FORM FOR EACH CUSTOMER TO WHOM THE VENDOR DISTRIBUTES EUROTLX MARKET DATA

YEAR OF REFERENCE

MONTH OF REFERENCE

- ☐ 1st January /31 March

☐ 1st April/ 30June

☐ 1st July /30 September

☐ 1st October/31 December

CUSTOMER NAME

CUSTOMER ADDRESS

| | Level 1 and 2 – A2 | Level 2 – A3 | Level 2 – A4 | Level 2 – A5 | MiFID II Post Trade |
|--|-----------------------|-----------------|-----------------|-----------------|---------------------------|
| Professional Users - All Asset Classes | | | | | |
| From 1 to 150 Users | | | | | |
| From 151 a 1500 Users | | | | | |
| More than 1500 Users | | | | | |
| | | | | | |
| Professional Users – Equity and DR | | | | | |
| From 1 to 150 Users | | | | | |
| From 151 a 1500 Users | | | | | |
| More than 1500 Users | | | | | |
| | | | | | |
| Professional Users – CWs and Certificates | | | | | |
| From 1 to 150 Users | | | | | |
| From 151 a 1500 Users | | | | | |
| More than 1500 Users | | | | | |
| | | | | | |
| Professional Users – Bonds | | | | | |
| From 1 to 150 Users | | | | | |

| | | | | | |
|--|--|--|--|--|--|
| From 151 a 1500 Users | | | | | |
| More than 1500 Users | | | | | |
| | | | | | |
| Non-Professional Users – All2 Asset Classes | | | | | |
| From 1 to 1000 Users | | | | | |
| > 1000 Users | | | | | |
| | | | | | |
| Non-Professional Users – Equity and DR | | | | | |
| From 1 to 1000 Users | | | | | |
| > 1000 Users | | | | | |
| | | | | | |
| Non-Professional Users – CWs and Certificates | | | | | |
| From 1 to 1000 Users | | | | | |
| > 1000 Users | | | | | |
| | | | | | |
| Non-Professional Users – Bonds | | | | | |
| From 1 to 1000 Users | | | | | |
| > 1000 Users | | | | | |
| | | | | | |

² Please indicate if the number is related to the active logins or the 65% of all Identification Code and/or password issued.

| Market Data Usage for Derived Data Creation | | | | | |
|---|--|--|--|--|--|
| Number of Applications | | | | | |
| | | | | | |
| Non - Display Applications – All Asset Classes | | | | | |
| Number of Black Boxes | | | | | |
| Number of di Derived Data | | | | | |
| Number of Smart Order Routing Applications | | | | | |
| Number of Algorithmic Applications | | | | | |
| Number of Program Trading Applications | | | | | |
| Other | | | | | |
| | | | | | |
| Non - Display Applications – Equity and DR | | | | | |
| Number of Black Boxes | | | | | |
| Number of di Derived Data | | | | | |
| Number of Smart Order Routing Applications | | | | | |
| Number of Algorithmic Applications | | | | | |
| Number of Program Trading Applications | | | | | |
| Other | | | | | |
| | | | | | |

| | | | | | |
|--|--|--|--|--|--|
| Non - Display Applications – CWs and Certificates | | | | | |
| Number of Black Boxes | | | | | |
| Number of di Derived Data | | | | | |
| Number of Smart Order Routing Applications | | | | | |
| Number of Algorithmic Applications | | | | | |
| Number of Program Trading Applications | | | | | |
| Other | | | | | |
| | | | | | |
| Non - Display Applications – Bonds | | | | | |
| Number of Black Boxes | | | | | |
| Number of di Derived Data | | | | | |
| Number of Smart Order Routing Applications | | | | | |
| Number of Algorithmic Applications | | | | | |
| Number of Program Trading Applications | | | | | |
| Other | | | | | |
| | | | | | |
| Other Application | | | | | |
| Number of Other Application | | | | | |
| | | | | | |

| Public Display / Wallboard | | | | | |
|-------------------------------------|--|--|--|--|--|
| Number of Public Display/Wallboards | | | | | |

**C) FORM FOR EACH CUSTOMER TO WHOM THE VENDOR DISTRIBUTES
EUROTLX MARKET DATA FREE-OF-CHARGE (MAX. 30 DAYS) AND INTERNAL
USAGE**

1 – 30 DAYS FREE TRIAL

YEAR OF REFERENCE

PERIOD OF REFERENCE

CUSTOMER NAME

CUSTOMER ADDRESS

| | |
|--|--|
| | |
| Professional User | |
| Number of Professional User | |
| | |
| Non-Professional User | |
| Number of Non-Professional User | |
| | |
| Market Data Usage for Derived Data Creation | |
| Number of Applications | |
| | |
| Non - Display Application | |
| Number of Non-Display Application | |
| | |
| Other Application | |
| Number of Other Application | |
| | |
| Public Display / Wallboard | |
| Number of Public Display/Wallboard | |

2 – VENDOR INTERNAL USAGE

VENDOR NUMBER OF EMPLOYEES FOR INTERNAL USAGE

VENDOR PERCENTAGE OF EMPLOYEES FOR INTERNAL USAGE